NH: PT's big brother

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for a general nonhermitian (NH) operator $H \neq H^{\dagger}$, eigenvalues are usually all complex

$$H|\psi\rangle = E|\psi\rangle, \quad E = \frac{1}{2}\left(a+d\pm\sqrt{(a-d)^2+4bc}\right)$$

nonhermitian degeneracies (NHDs) where $a-d=\pm 2ibc$

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 $-\frac{1}{2}\nabla^2 \psi(\mathbf{r}) + V(\mathbf{r})\psi(\mathbf{r}) = E\psi(\mathbf{r}), \quad V(\mathbf{r}) \text{ complex and with no symmetry}$ $\Rightarrow \text{ all } E \text{ complex}$

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but if *H* has *PT* symmetry, e.g. $V(r)=V^*(-r)$, then some, or in special cases all, energies can be real

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secular equation $E^2 - 2E \operatorname{Re} a + |a|^2 - |b|^2 = 0$ is *real!* eigenvalues real or in complex-conjugate pairs

$$E = \operatorname{Re} a \pm \sqrt{|b|^2 - (\operatorname{Im} a)^2}$$

NHDs where |b|=|Ima|

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start from orthonormal basis of states $|n\rangle$

$$|n_A\rangle \equiv |n\rangle + A|n\rangle$$
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energy levels real or complex-conjugate pairs









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the world of operators



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1. NH not fundamental, merely describing decay (or, more recently, gain) associated with freedoms we cannot measure or choose to ignore

2. NH more fundamental than H, which perpetrates the fiction of the isolated system, ignoring the fact that any probing of a system involves coupling it with something else

recent counter-view: for those PT systems with real energies (i.e. not complex-conjugate pairs), can define a scalar product such that evolution is unitary, suggesting PT as more fundamental than H

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counter-counter view 1: many quantum systems with H have neither P (nonsymmetric quantum dots) nor T (particles in magnetic fields)

counter-counter view 2: examples showing that the new PT scalar product does not represent physics - probability not conserved



Bragg-diffracted beam intensities $|a_n|^2$

periodic potential (refractive index)² $\mu(x)$



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wave (in scaled variables)

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PT symmetric if $\mu(x) = \mu_{h}(x) + \mu_{a}(x)$

 $\mu_{\rm h}(x)$ (hermitian) real even

 $\mu_{a}(x)$ (antihermitian) imaginary odd





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No!

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Optical lattices with PT symmetry are not transparent

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for PT $\mu_n = \text{real}, \ \mu_{hn} = \mu_{h,-n}, \ \mu_{an} = -\mu_{a,-n}$ $\mu(x) = \mu_{0h} + 2\sum_{n=1}^{\infty} \mu_{nh} \cos nx + 2i\sum_{n=1}^{\infty} \mu_{na} \sin nx$

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beam amplitude evolution $i\partial_z a_n(z) = (n + \alpha_0)^2 a_n(z) + \sum_{m=-\infty}^{\infty} \mu_{n-m} a_m(z), \quad a_n(0) = \delta_{n,0}$

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beam amplitude evolution $i\partial_{z}a_{n}(z) = (n + \alpha_{0})^{2}a_{n}(z) + \sum_{m=-\infty}^{\infty} \mu_{n-m}a_{m}(z), \quad a_{n}(0) = \delta_{n,0}$ $\longrightarrow \quad \partial_{z}I(z) = 2\operatorname{Im}\sum_{m=-\infty}^{\infty}\sum_{m=-\infty}^{\infty} \mu_{n-m,a}a_{m}^{*}a_{m}$ paraxial wave equation $\frac{i\partial_z \psi}{\partial_z \psi} = -\frac{\partial_x^2 \psi}{\partial_x \psi} + \mu(x)\psi$

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$$\mu_{a1} \to 0, \quad I(z) = \sum_{n = -\infty}^{\infty} |a_n(z)|^2 = 1$$

$$\mu_{h1} \to 0, \quad S(z) = \sum_{n = -\infty}^{\infty} (-1)^n |a_n(z)|^2 = 1$$

example 3: two-beam case, $|\mu_h| << 1$, $|\mu_a| << 1$



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DSS if
$$\frac{\mu_a}{\mu_h} < 0$$
 and $|\mu_a| < |\mu_h|$

gain otherwise

$$I_{0}(z) = |a_{0}|^{2} = \cos^{2}\left(z\sqrt{\delta^{2} + \mu_{h}^{2} - \mu_{a}^{2}}\right) + \delta^{2} \frac{\sin^{2}\left(z\sqrt{\delta^{2} + \mu_{h}^{2} - \mu_{a}^{2}}\right)}{\delta^{2} + \mu_{h}^{2} - \mu_{a}^{2}}$$
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$$H: \delta = 0,$$
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PT loss : $\delta = 0$,
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NHD : $\delta = 0$, $\mu_h = 0.5, \mu_a = 0.5$

 $\delta = \sqrt{\mu_a^2 - \mu_h^2}$



$$\delta = \sqrt{\mu_a^2 - \mu_h^2}$$

$$a_0(z) = \left(1 + iz\sqrt{\mu_a^2 - \mu_h^2}\right) \exp\left(-iz\sqrt{\delta^2 + \frac{1}{4}}\right), \quad a_1(z) = -iz(\mu_h + \mu_a) \exp\left(-iz\sqrt{\delta^2 + \frac{1}{4}}\right)$$





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 $a_0(z)$ $a_1(z)$ $\sqrt{\mu_a - \mu_h}$

$$\delta = \sqrt{\mu_a^2 - \mu_h^2}$$

 $a_0(z) = \left(1 + iz\sqrt{\mu_a^2 - \mu_h^2}\right) \exp\left(-iz\sqrt{\delta^2 + \frac{1}{4}}\right), \quad a_1(z) = -iz(\mu_h + \mu_a) \exp\left(-iz\sqrt{\delta^2 + \frac{1}{4}}\right)$



as *z* increases, state rotates to become parallel to single NHD eigenstate of *H*

ghost of departed eigenvector

universal NH phenomenon, not restricted to PT

 $a_0(z)$ $a_1(z)$ $\mu_a - \mu_h$

gain and loss symmetrical in $\mu(x)$, but net gain in emergent light

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$$|\psi(x,z)|^{2} = 1 + 2z^{2}(\mu_{h} + \mu_{a})(\mu_{h} + \mu_{a} - \sqrt{\mu_{a}^{2} - \mu_{h}^{2}}\cos^{2}x) + 2z(\mu_{h} + \mu_{a})\sin x$$

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Pancharatnam 1955



printed from "The Proceedings of the Indian Academy of Sciences", Vol. XLII, No. 2, Sec. A, 1955

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optical implication of single eigenvector at NHD in optics NHD= 'singular axis' in direction space

usually, two polarizations can propagate through an absorbing biaxially anisotropic crystal

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but at a singular axis (NHD), there is only one

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Voigt 1908: the beam will be totally reflected

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what happens if a crystal is illuminated along a singular axis, with a beam of the orthogonal polarization – the one that doesn't propagate?

Voigt 1908: the beam will be totally reflected

Pancharatnam 1955: wrong! - the polarization will slowly rotate into the one that does propagate

$$\begin{pmatrix} a_0(z) \\ a_1(z) \end{pmatrix} = \exp(-Az)\exp\left(-iz\sqrt{\delta^2 + \frac{1}{4}}\right) \times \left[\begin{pmatrix} \sqrt{\mu_a + \mu_h} \\ \sqrt{\mu_a - \mu_h} \end{pmatrix} - 2iz\mu_a \begin{pmatrix} -\sqrt{\mu_a - \mu_h} \\ \sqrt{\mu_a + \mu_h} \end{pmatrix} \right]$$

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polarization that propagates

$$\begin{pmatrix} a_0(z) \\ a_1(z) \end{pmatrix} = \exp(-Az)\exp\left(-iz\sqrt{\delta^2 + \frac{1}{4}}\right) \times \left[\left(\sqrt{\mu_a + \mu_h} \\ \sqrt{\mu_a - \mu_h} \right) - 2iz\mu_a \left(-\sqrt{\mu_a - \mu_h} \\ \sqrt{\mu_a + \mu_h} \right) \right]$$

orthogonal polarization that incident propagates polarization

overall decay because crystal is absorbing: NH not PT, but *the same degeneracy phenomenon*

$$\begin{pmatrix} a_0(z) \\ a_1(z) \end{pmatrix} = \exp(-Az)\exp\left(-iz\sqrt{\delta^2 + \frac{1}{4}}\right) \times \left[\sqrt{\mu_a + \mu_h} \\ \sqrt{\mu_a - \mu_h} \right] - 2iz\mu_a \begin{bmatrix} -\sqrt{\mu_a - \mu_h} \\ \sqrt{\mu_a + \mu_h} \end{bmatrix}$$

orthogonal polarization that incident propagates polarization

another example: Zeilinger et al's (1996) atoms diffracted by light

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with zero detuning, optical potential seen by atoms is proportional to $\frac{i \cos^2 x}{i \cos^2 x}$

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$$i\cos^2 x = \frac{1}{2}i + \frac{1}{2}i\sin 2\xi$$
 $(\xi = x + \frac{1}{4}\pi)$

another example: Zeilinger et al's (1996) atoms diffracted by light

with zero detuning, optical potential seen by atoms is proportional to $\frac{1}{1000} \frac{1}{1000} \frac{1}$

$$\frac{\mathrm{i}\mathrm{cos}^{2}x=\frac{1}{2}\mathrm{i}+\frac{1}{2}\mathrm{i}\sin 2\xi \quad \left(\xi=x+\frac{1}{4}\pi\right)}{\mathrm{nonuniform}}$$

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nonuniform uniform loss loss

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$$\frac{i\cos^2 x = \frac{1}{2}i + \frac{1}{2}i\sin 2\xi \quad (\xi = x + \frac{1}{4}\pi)}{\text{nonuniform}}$$
nonuniform uniform PT, i.e. gain
loss loss balancing loss
papers on NH & PT

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