# NH: PT's big brother 

## Michael Berry University of Bristol

http://michaelberryphysics.wordpress.com
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a & b \\
c & d
\end{array}\right), \quad(a, b, c, d \text { complex }) 8 \text { real parameters }
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\boldsymbol{H}|\psi\rangle=E|\psi\rangle, \quad E=\frac{1}{2}\left(a+d \pm \sqrt{(a-d)^{2}+4 b c}\right)
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nonhermitian degeneracies (NHDs) where $a-d= \pm 2 \mathrm{i} b c$
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nonhermitian degeneracies (NHDs) where $a-d= \pm 2 \mathrm{i} b c$ similarly
$-\frac{1}{2} \nabla^{2} \psi(\boldsymbol{r})+V(\boldsymbol{r}) \psi(\boldsymbol{r})=E \psi(\boldsymbol{r}), \quad V(\boldsymbol{r})$ complex and with no symmetry $\Rightarrow$ all $E$ complex
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$-\frac{1}{2} \nabla^{2} \psi(\boldsymbol{r})+V(\boldsymbol{r}) \psi(\boldsymbol{r})=E \psi(\boldsymbol{r}), \quad V(\boldsymbol{r})$ complex and with no symmetry $\Rightarrow$ all $E$ complex
but if $\boldsymbol{H}$ has $P T$ symmetry, e.g. $V(\boldsymbol{r})=V^{*}(-\boldsymbol{r})$, then some, or in special cases all, energies can be real

$$
H(x)=-\partial_{x}^{2}+x^{4}+\mathrm{i} A x=H(-x)^{*}=P T H \neq H^{\dagger}
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different from hermitian case

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all energies real for $|A|<3.169$

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all energies real for $|A|<3.169$

## real

 energiesplotted

NHDs = exceptional points (EPs)
different from hermitian case
why are any energies real?
$2 \times 2$ case: two points on $x$ axis


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(i, j)=-1 \quad(i, j)=+1
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\begin{aligned}
& (i, j)=-1 \quad(i, j)=+1 \\
& (P T \boldsymbol{H})_{i, j}=H_{-i,-j}^{*}
\end{aligned}
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$2 x 2$ case: two points on $x$ axis

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\begin{gathered}
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(P T H)_{i, j}=H_{-i,-j}^{*} \\
H_{-i,-j}^{*}=H_{i, j} \text {, i.e. } \boldsymbol{H}=\left(\begin{array}{cc}
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secular equation $E^{2}-2 E \operatorname{Re} a+|a|^{2}-|b|^{2}=0$ is real!
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$$
E=\operatorname{Re} a \pm \sqrt{|b|^{2}-(\operatorname{Im} a)^{2}} \quad \text { NHDs where }|b|=|\operatorname{Im} a|
$$

general proof that when $\boldsymbol{H}$ has $P T$ a basis can be found in which the secular equation is real
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\boldsymbol{A}=\text { unitary } \times \text { complex conjugation }
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for $A=P T$, (unitary $=x \Rightarrow-x$ ), (complex conjugation $=T)$
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start from orthonormal basis of states
$|n\rangle$

## create an ' $\boldsymbol{A}$-adapted' basis:

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\left|n_{A}\right\rangle \equiv|n\rangle+\boldsymbol{A}|n\rangle, \text { satisfying } \quad \boldsymbol{A}\left|n_{A}\right\rangle=\left|n_{A}\right\rangle
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$\longrightarrow$ matrix elements real
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$\longrightarrow$ energy levels real or complex-conjugate pairs
the world of operators
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## the world of operators



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## importance of NH , contrasting views

## the world of operators


importance of NH , contrasting views

1. NH not fundamental, merely describing decay (or, more recently, gain) associated with freedoms we cannot measure or choose to ignore
the world of operators

importance of NH , contrasting views
2. NH not fundamental, merely describing decay (or, more recently, gain) associated with freedoms we cannot measure or choose to ignore
3. NH more fundamental than H , which perpetrates the fiction of the isolated system, ignoring the fact that any probing of a system involves coupling it with something else

## for H , traditional view: reflects the fundamental

 requirement that probability must be conserved for an isolated system, - unitarityfor H , traditional view: reflects the fundamental requirement that probability must be conserved for an isolated system, - unitarity
recent counter-view: for those PT systems with real energies (i.e. not complex-conjugate pairs), can define a scalar product such that evolution is unitary, suggesting PT as more fundamental than H
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counter-counter view 1: many quantum systems with H have neither P (nonsymmetric quantum dots) nor T (particles in magnetic fields)
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counter-counter view 1: many quantum systems with $H$ have neither $P$ (nonsymmetric quantum dots) nor T (particles in magnetic fields)
counter-counter view 2: examples showing that the new PT scalar product does not represent physics - probability not conserved
diffraction of waves: light, electrons, atoms... by waves: sound, crystals, light...
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## Bragg-diffracted beam intensities $\left|a_{n}\right|^{2}$

$z \longrightarrow$ periodic potential (refractive index) ${ }^{2} \mu(x)$
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## Bragg-diffracted

 beam intensities $\left|a_{n}\right|^{2}$wave (in scaled variables)

$$
\psi(x, z)=\sum_{n=-\infty}^{\infty} a_{n}(z) \exp \left(\mathrm{i}\left(n+\sin \theta_{0}\right) x\right)
$$

$z \longrightarrow$ periodic potential (refractive index) ${ }^{2} \mu(x)$
PT symmetric if
$\mu(x)=\mu_{\mathrm{h}}(x)+\mu_{\mathrm{a}}(x)$
$\mu_{\mathrm{h}}(x)$ (hermitian) real even
$\mu_{\mathrm{a}}(x)$ (antihermitian) imaginary odd

## total emergent intensity (current, probability, Poynting)



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I(z)=\sum_{-\infty}^{\infty}\left|a_{n}(z)\right|^{2}
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is this conserved, i.e. is the PT crystal transparent (physical unitarity)?

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## No!

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Optical lattices with PT symmetry are not transparent

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$$
\mu(x)=\sum_{n=\infty}^{\infty} \mu_{n} \exp (\mathrm{inx})=\sum_{n=\infty}^{\infty}\left(\mu_{m+}+\mu_{n a}\right) \exp (\mathrm{inx})
$$

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\mu(x)=\sum_{n=-\infty}^{\infty} \mu_{n} \exp (\mathrm{i} n x)=\sum_{n=-\infty}^{\infty}\left(\mu_{n h}+\mu_{n a}\right) \exp (\mathrm{i} n x)
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for PT $\mu_{n}=$ real, $\mu_{\mathrm{h} n}=\mu_{\mathrm{h},-n}, \mu_{\mathrm{a} n}=-\mu_{\mathrm{a},-n}$

$$
\mu(x)=\mu_{0 h}+2 \sum_{n=1}^{\infty} \mu_{n h} \cos n x+2 i \sum_{n=1}^{\infty} \mu_{n a} \sin n x
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\end{aligned}
$$

beam amplitude evolution

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\mathrm{i} \partial_{z} a_{n}(z)=\left(n+\alpha_{0}\right)^{2} a_{n}(z)+\sum_{m=-\infty}^{\infty} \mu_{n-m} a_{m}(z), \quad a_{n}(0)=\delta_{n, 0}
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\partial_{z} I(z)=2 \operatorname{Im} \sum_{n=-\infty}^{\infty} \sum_{m=-\infty}^{\infty} \mu_{n-m a} a_{n}^{*} a_{m}
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$\partial_{z} I(2)=21 m_{n=-\infty}^{\infty} \mu_{m=-\infty}^{\infty} \mu_{n-m, a}^{\infty} a_{n}^{*} a_{m}=0$

PT crystals not transparent, i.e.PT $\rightarrow \boldsymbol{\rightarrow}$ unitarity

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more general intensity sum rules

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S \equiv \sum_{n=-\infty}^{\infty} S_{n}\left|a_{n}(z)\right|^{2}=1, \quad S_{n} \text { real }
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example $1 \mu(x)=2 i \sum_{n=0}^{\infty} \mu_{\Delta 2 n+1} \sin \{(2 n+1) x\} \quad$ pure antihermitian PT

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example $1 \mu(x)=2 i \sum_{m=0}^{\infty} \mu_{22 n+1} \sin \{(2 n+1) x\}$ pure antihermitian PT
alternating-sign

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$$

example $1 \mu(x)=2 \mathrm{i} \sum_{n=0}^{\infty} \mu_{\mathrm{a}, 2 n+1} \sin \{(2 n+1) x\} \quad \begin{gathered}\text { pure antihermitian PT } \\ \text { odd wrt } x=0 \text { and } x=\pi\end{gathered}$
alternating-sign

$$
S \equiv \sum_{n=-\infty}^{\infty}(-1)^{n}\left|a_{n}(z)\right|^{2}=1
$$

$$
I(z)=\sum_{n=-\infty}^{\infty}\left|a_{n}(z)\right|^{2}=1+2 \sum_{n=-\infty}^{\infty}\left|a_{2 n+1}(z)\right|^{2} \geq 1
$$

not transparent, gain dominates loss
example 2, interpolating between sum rules

$$
\begin{gathered}
\mu(x)=\mu_{1} \exp (\mathrm{i} x)+\mu_{-1} \exp (-\mathrm{i} x)=2 \mu_{\mathrm{h}} \cos x+2 \mathrm{i} \mu_{\mathrm{a}} \sin x \\
\text { (pure trigonometric) }
\end{gathered}
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sum rule $\sum_{n=-\infty}^{\infty}\left(\frac{\mu_{\mathrm{h} 1}-\mu_{\mathrm{a} 1}}{\mu_{\mathrm{h} 1}+\mu_{\mathrm{a} 1}}\right)^{n}\left|a_{n}(z)\right|^{2}=1$
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$$

i $\mu_{a}>0$, gain in $0<x<\pi$, loss in $-\pi<x<0$
sum rule $\sum_{n=-\infty}^{\infty}\left(\frac{\mu_{\mathrm{h} 1}-\mu_{\mathrm{a} 1}}{\mu_{\mathrm{h} 1}+\mu_{\mathrm{a} 1}}\right)^{n}\left|a_{n}(z)\right|^{2}=1$
limits

$$
\begin{aligned}
& \mu_{a 1} \rightarrow 0, \quad I(z)=\sum_{n=-\infty}^{\infty}\left|a_{n}(z)\right|^{2}=1 \\
& \mu_{h 1} \rightarrow 0, \quad S(z)=\sum_{n=-\infty}^{\infty}(-1)^{n}\left|a_{n}(z)\right|^{2}=1
\end{aligned}
$$

## example 3: two-beam case, $\left|\mu_{h}\right| \ll 1, \quad\left|\mu_{a}\right| \ll 1$



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## example 3: two-beam case, $\left|\mu_{h}\right| \ll 1, \quad\left|\mu_{a}\right| \ll 1$

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$$
I(z)=1+\frac{2\left|a_{1}(z)\right|^{2} \mu_{a}}{\mu_{h}+\mu_{a}}
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## example 3: two-beam case, $\left|\mu_{h}\right| \ll 1, \quad\left|\mu_{a}\right| \ll 1$

 intensity depends on balance of $\mu_{h}$ and $\mu_{a}$

$$
I(z)=1+\frac{\left.2 \mid a_{1}(z)\right)^{2} \mu_{a}}{\mu_{h}+\mu_{a}}
$$

loss if $\frac{\mu_{a}}{\mu_{h}}<0$ and $\left|\mu_{a}\right|<\left|\mu_{h}\right|$
gain otherwise
$\theta_{0}=-\frac{1}{2}+\delta$ : deviation from Bragg angle

$$
\begin{aligned}
& I_{0}(z)=\left|a_{0}\right|^{2}=\cos ^{2}\left(z \sqrt{\delta^{2}+\mu_{h}^{2}-\mu_{a}^{2}}\right)+\delta^{2} \frac{\sin ^{2}\left(z \sqrt{\delta^{2}+\mu_{h}^{2}-\mu_{a}^{2}}\right)}{\delta^{2}+\mu_{h}^{2}-\mu_{a}^{2}} \\
& I_{1}(z)=\left|a_{1}\right|^{2}=\left(\mu_{h}+\mu_{a}\right)^{2} \frac{\sin ^{2}\left(z \sqrt{\delta^{2}+\mu_{h}^{2}-\mu_{a}^{2}}\right)}{\delta^{2}+\mu_{h}^{2}-\mu_{a}^{2}}
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\end{aligned}
$$



$z$

$$
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$$


$\mathrm{H}: \delta=0$,
$\mu_{h}=1, \mu_{a}=0$


$$
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& I_{0}(z)=\left|a_{0}\right|^{2}=\cos ^{2}\left(z \sqrt{\delta^{2}+\mu_{h}^{2}-\mu_{a}^{2}}\right)+\delta^{2} \frac{\sin ^{2}\left(z \sqrt{\delta^{2}+\mu_{h}^{2}-\mu_{a}^{2}}\right)}{\delta^{2}+\mu_{h}^{2}-\mu_{a}^{2}} \\
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\end{aligned}
$$


$\mathrm{H}: \delta=0$,
$\mu_{h}=1, \mu_{a}=0$
PT loss : $\delta=0$,
$\mu_{h}=1, \mu_{a}=-0.5$


2

$$
\begin{aligned}
& I_{0}(z)=\left|a_{0}\right|^{2}=\cos ^{2}\left(z \sqrt{\delta^{2}+\mu_{h}^{2}-\mu_{a}^{2}}\right)+\delta^{2} \frac{\sin ^{2}\left(z \sqrt{\delta^{2}+\mu_{h}^{2}-\mu_{a}^{2}}\right)}{\delta^{2}+\mu_{h}^{2}-\mu_{a}^{2}} \\
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$$


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PT loss : $\delta=0$,
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PT gain : $\delta=0$,
$\mu_{h}=1, \mu_{a}=+0.5$

NHD : $\delta=0$,
$\mu_{h}=0.5, \mu_{a}=0.5$

NHD case:

$$
\delta=\sqrt{\mu_{a}^{2}-\mu_{h}^{2}}
$$

## NHD case: $\quad \delta=\sqrt{\mu_{a}^{2}-\mu_{h}^{2}}$

$$
a_{0}(z)=\left(1+\mathrm{i} z \sqrt{\mu_{a}^{2}-\mu_{h}^{2}}\right) \exp \left(-\mathrm{i} z \sqrt{\delta^{2}+\frac{1}{4}}\right), \quad a_{1}(z)=-\mathrm{i} z\left(\mu_{h}+\mu_{a}\right) \exp \left(-\mathrm{i} z \sqrt{\delta^{2}+\frac{1}{4}}\right)
$$

## NHD case: <br> $$
\delta=\sqrt{\mu_{a}^{2}-\mu_{h}^{2}}
$$

$$
a_{0}(z)=\left(1+\mathrm{i} z \sqrt{\mu_{a}^{2}-\mu_{h}^{2}}\right) \exp \left(-\mathrm{i} z \sqrt{\delta^{2}+\frac{1}{4}}\right), \quad a_{1}(z)=-\mathrm{i} z\left(\mu_{h}+\mu_{a}\right) \exp \left(-\mathrm{i} z \sqrt{\delta^{2}+\frac{1}{4}}\right)
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## NHD case: $\quad \delta=\sqrt{\mu_{a}^{2}-\mu_{h}^{2}}$

$$
a_{0}(z)=\left(1+\mathrm{i} z \sqrt{\mu_{a}^{2}-\mu_{n}^{2}}\right) \exp \left(-\mathrm{i} z \sqrt{\delta^{2}+\frac{1}{4}}\right), \quad a_{1}(z)=-\mathrm{i} z\left(\mu_{n}+\mu_{a}\right) \exp \left(-\mathrm{i} z \sqrt{\delta^{2}+\frac{1}{4}}\right)
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as $z$ increases, state rotates to become parallel to single NHD eigenstate of $H$

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ghost of departed eigenvector

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$$

$$
\text { I(z)=1+2z2 } \mu_{a}\left(\mu_{a}+\mu_{h}\right)
$$


as $z$ increases, state rotates to become parallel to single NHD eigenstate of $H$
ghost of departed eigenvector

$$
\binom{a_{0}(z)}{a_{1}(z)} \underset{z \rightarrow \infty}{\Rightarrow}\binom{\sqrt{\mu_{a}-\mu_{h}}}{\sqrt{\mu_{a}+\mu_{h}}} z
$$

## NHD case: $\quad \delta=\sqrt{\mu_{a}^{2}-\mu_{h}^{2}}$

$$
a_{0}(z)=\left(1+\mathrm{i} z \sqrt{\mu_{a}^{2}-\mu_{n}^{2}}\right) \exp \left(-\mathrm{i} z \sqrt{\delta^{2}+\frac{1}{4}}\right), \quad a_{1}(z)=-\mathrm{iz}\left(\mu_{h}+\mu_{a}\right) \exp \left(-\mathrm{iz} \sqrt{\delta^{2}+\frac{1}{4}}\right)
$$


as $z$ increases, state rotates to become parallel to single NHD eigenstate of $H$
ghost of departed eigenvector
universal NH phenomenon, not restricted to PT

$$
\left.\begin{array}{l}
a_{0}(z) \\
a_{1}(z)
\end{array}\right) \underset{z \rightarrow \infty}{\Rightarrow \rightarrow \infty}\binom{\sqrt{\mu_{a}-\mu_{h}}}{\sqrt{\mu_{a}+\mu_{h}}} z
$$

gain and loss symmetrical in $\mu(x)$, but net gain in emergent light
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$$
\begin{aligned}
|\psi(x, z)|^{2} & =1+2 z^{2}\left(\mu_{h}+\mu_{a}\right)\left(\mu_{h}+\mu_{a}-\sqrt{\mu_{a}^{2}-\mu_{h}^{2}} \cos ^{2} x\right) \\
& +2 z\left(\mu_{h}+\mu_{a}\right) \sin x
\end{aligned}
$$

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$$
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|\psi(x, z)|^{2} & =1+2 z^{2}\left(\mu_{h}+\mu_{a}\right)\left(\mu_{h}+\mu_{a}-\sqrt{\mu_{a}^{2}-\mu_{h}^{2}} \cos ^{2} x\right) \\
& +2 z\left(\mu _ { h } + \mu _ { a } \longdiv { \operatorname { s i n } x }\right)
\end{aligned}
$$

breaks symmetry between gain and loss
gain and loss symmetrical in $\mu(x)$, but net gain in emergent light

$$
\begin{aligned}
|\psi(x, z)|^{2}= & 1+2 z^{2}\left(\mu_{h}+\mu_{a}\right)\left(\mu_{h}+\mu_{a}-\sqrt{\mu_{a}^{2}-\mu_{h}^{2}} \cos ^{2} x\right) \\
& \left.+2 z\left(\mu_{h}+\mu_{a}\right) \sin x\right)
\end{aligned}
$$

breaks symmetry between gain and loss

wave concentrated in gain region

## Pancharatnam 1955



## ?printea from "The Proceedings of the Indian Academy of Sciences", <br> Vol. XLII, No. 2, Sec. A, 1955 <br> THE PROPAGATION OF LIGHT IN ABSORBING BIAXIAL CRYSTALS - I. THEORETICAL

By S. Pancharatnam

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Reprinted from "The Proceedings of the Indian Academy of Sciences",
    Vol. XLII, No. 5, Sec. A, }195
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THE PROPAGATION OF LIGHT IN ABSORBING BIAXIAL CRYSTALS
II. Experimental

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$$
\begin{aligned}
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optical implication of single eigenvector at NHD
in optics NHD= 'singular axis' in direction space
in optics, $2 \times 2$ dielectric matrix depending on direction, eigenvectors=polarization states
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what happens if a crystal is illuminated along a singular axis, with a beam of the orthogonal polarization - the one that doesn't propagate?
in optics, $2 \times 2$ dielectric matrix depending on direction, eigenvectors=polarization states
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Voigt 1908: the beam will be totally reflected
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usually, two polarizations can propagate through an absorbing biaxially anisotropic crystal
but at a singular axis (NHD), there is only one
what happens if a crystal is illuminated along a singular axis, with a beam of the orthogonal polarization - the one that doesn't propagate?

Voigt 1908: the beam will be totally reflected
Pancharatnam 1955: wrong! - the polarization will slowly rotate into the one that does propagate

## explicitly

$$
\begin{aligned}
& \binom{a_{0}(z)}{a_{1}(z)}=\exp (-A z) \exp \left(-\mathrm{i} z \sqrt{\delta^{2}+\frac{1}{4}}\right) \times \\
& {\left[\binom{\sqrt{\mu_{a}+\mu_{h}}}{\sqrt{\mu_{a}-\mu_{h}}}-2 \mathrm{i} \mu_{a}\binom{-\sqrt{\mu_{a}-\mu_{h}}}{\sqrt{\mu_{a}+\mu_{h}}}\right]}
\end{aligned}
$$

## explicitly

$$
\begin{aligned}
& \binom{a_{0}(z)}{a_{1}(z)}=\exp (-A z) \exp \left(-\mathrm{i} z \sqrt{\delta^{2}+\frac{1}{4}}\right) \times \\
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& \text { polarization that } \\
& \text { propagates }
\end{aligned}
$$

## explicitly

$\left.\begin{array}{l}\left(\begin{array}{l}\binom{a_{0}(z)}{a_{1}(z)}=\exp (-A z) \exp \left(-\mathrm{i} z \sqrt{\delta^{2}+\frac{1}{4}}\right) \times \\ \binom{\sqrt{\mu_{a}+\mu_{h}}}{\sqrt{\mu_{a}-\mu_{h}}}\end{array}-2 \mathrm{i} z \mu_{a}\binom{-\sqrt{\mu_{a}-\mu_{h}}}{\sqrt{\mu_{a}+\mu_{h}}}\right.\end{array}\right] \begin{gathered}\text { orthogonal } \begin{array}{c}\text { polarization that } \\ \text { incident }\end{array} \\ \text { polarization }\end{gathered}$

## explicitly

overall decay because crystal is absorbing: NH not PT, but the same degeneracy phenomenon

$$
\begin{array}{l}
\binom{a_{0}(z)}{a_{1}(z)}=\sqrt{\exp (-A z)} \exp \left(-\mathrm{i} z \sqrt{\delta^{2}+\frac{1}{4}}\right) \times \\
\binom{\sqrt{\mu_{a}+\mu_{h}}}{\sqrt{\mu_{a}-\mu_{h}}}
\end{array} \underbrace{-2 \mathrm{i} z \mu_{a}\binom{-\sqrt{\mu_{a}-\mu_{h}}}{\sqrt{\mu_{a}+\mu_{h}}}}]
$$

Pancharatnam's lossy crystal is an example of 'NH essentially PT', i.e. eigenvalues on a line parallel to the real axis: shifted to complex by absorption

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another example: Zeilinger et al's (1996) atoms diffracted by light
with zero detuning, optical potential seen by atoms is proportional to $i \cos ^{2} x$

$$
\mathrm{i} \cos ^{2} x=\frac{1}{2} \mathrm{i}+\frac{1}{2} \mathrm{i} \sin 2 \xi \quad\left(\xi=x+\frac{1}{4} \pi\right)
$$

Pancharatnam's lossy crystal is an example of 'NH essentially PT', i.e. eigenvalues on a line parallel to the real axis: shifted to complex by absorption
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\operatorname{icos}^{2} x=\frac{1}{2} \mathrm{i}+\frac{1}{2} \mathrm{i} \sin 2 \xi \quad\left(\xi=x+\frac{1}{4} \pi\right)
$$

nonuniform
loss

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$$
\left.\begin{array}{c}
\operatorname{icos}^{2} x=\frac{1}{2} \mathrm{i}+\frac{1}{2} \mathrm{i} \sin 2 \xi \quad\left(\xi=x+\frac{1}{4} \pi\right) \\
\begin{array}{c}
\text { nonuniform } \\
\text { loss }
\end{array} \\
\begin{array}{c}
\text { uniform } \\
\text { loss }
\end{array}
\end{array} \begin{array}{c}
\text { PT, i.e. gain } \\
\text { balancing loss }
\end{array}\right)
$$

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